

COMMENTARY

10.1002/2013EF000164

Key Points:

- Water problems represent a Grand Challenge in the Anthropocene
- Contemporary scholarship on water is fragmented by disciplinary barriers
- Socio-hydrology is a new science useful for water sustainability challenges

Corresponding author:

M. Konar, mkonar@illinois.edu

Citation:

Sivapalan, M., M. Konar, V. Srinivasan, A. Chhatre, A. Wutich, C. A. Scott, J. L. Wescoat, and I. Rodríguez-Iturbe (2014), Socio-hydrology: Use-inspired water sustainability science for the Anthropocene, *Earth's Future*, 2, 225–230, doi:10.1002/2013EF000164.

Received 22 AUG 2013

Accepted 31 JAN 2014

Accepted article online 7 FEB 2014

Published online 1 APR 2014

This is an open access article under the terms of the Creative Commons Attribution-NonCommercial-NoDerivs License, which permits use and distribution in any medium, provided the original work is properly cited, the use is non-commercial and no modifications or adaptations are made.

Socio-hydrology: Use-inspired water sustainability science for the Anthropocene

M. Sivapalan^{1,2}, M. Konar¹, V. Srinivasan³, A. Chhatre², A. Wutich⁴, C. A. Scott⁵, J. L. Wescoat⁶, and I. Rodríguez-Iturbe⁷

¹Department of Civil and Environmental Engineering, University of Illinois at Urbana-Champaign, Urbana, Illinois, USA,

²Department of Geography and Geographic Information Science, University of Illinois at Urbana-Champaign, Urbana, Illinois, USA,

³Ashoka Trust for Research in Ecology and the Environment, Royal Enclave Srirampura, Bengaluru, Karnataka, India,

⁴School of Human Evolution and Social Change, Arizona State University, Tempe, Arizona, USA,

⁵School of Geography & Development, and Udall Center for Studies in Public Policy, University of Arizona, Tucson, Arizona, USA,

⁶Aga Khan Program for Islamic Architecture, Massachusetts Institute of Technology, Cambridge, Massachusetts, USA,

⁷Department of Civil and Environmental Engineering, Princeton University, Princeton, New Jersey, USA

Abstract Water is at the core of the most difficult sustainability challenges facing humans in the modern era, involving feedbacks across multiple scales, sectors, and agents. We suggest that a transformative new discipline is necessary to address many and varied water-related challenges in the Anthropocene. Specifically, we propose socio-hydrology as a use-inspired scientific discipline to focus on understanding, interpretation, and scenario development of the flows and stocks in the human-modified water cycle across time and space scales. A key aspect of socio-hydrology is explicit inclusion of two-way feedbacks between human and water systems, which differentiates socio-hydrology from other inter-disciplinary disciplines dealing with water. We illustrate the potential of socio-hydrology through three examples of water sustainability problems, defined as paradoxes, which can only be fully resolved within a new socio-hydrologic framework that encompasses such two-way coupling between human and water systems.

1. Need for a Water Focus on Sustainability Science

Water represents a key aspect of sustainability challenges facing humans in the Anthropocene [Maass *et al.*, 1962; Falkenmark and Rockström, 2004]. Human appropriation of water resources and modification of landscapes exert an accelerating influence on water-cycle dynamics from local- to global scales and decadal- to century timescales [Vörösmarty *et al.*, 2000]. Human actions scale up in surprising and unpredictable ways to generate a suite of diverse water sustainability challenges that must be incorporated into new approaches to water science and management.

Examples of wicked problems that continue to vex scientists and policy makers include: trade-offs among ecosystems, hydropower, and livelihoods in the transnational Mekong Basin [Ziv *et al.*, 2012]; effects of human settlements in flood-prone areas on increased flood risk and fatalities in Africa [Di Baldassarre *et al.*, 2010]; and expanding hypoxic zones in the Gulf of Mexico resulting from nutrient loading in the agricultural headwaters of the Mississippi River [Turner and Rabalais, 2003]. Due to the urgency of these problems, contemporary scholarship should draw from natural sciences, social sciences, and the humanities, to better understand the dynamics arising from the two-way coupling between water and humans in each case.

There have been calls for a transformative new water discipline that integrates the multiple perspectives needed for confronting water challenges in the Anthropocene. For example, we should build upon the tradition in hydrology to study relatively pristine systems, in which human actions tend to be incorporated simply through parametric approximation [Wagner *et al.*, 2010], with richer understanding of coupled human-water system dynamics [Fishman *et al.*, 2011]. Likewise, humanistic approaches to the study of water—law, philosophy, history, and ethics—can be further integrated with scientific knowledge [Wescoat, 2013]. The most effective way to create such a new discipline is to frame it as use-inspired science [Stokes, 1997; Clark and Dickson, 2003; Thompson *et al.*, 2013], focused on addressing urgent water

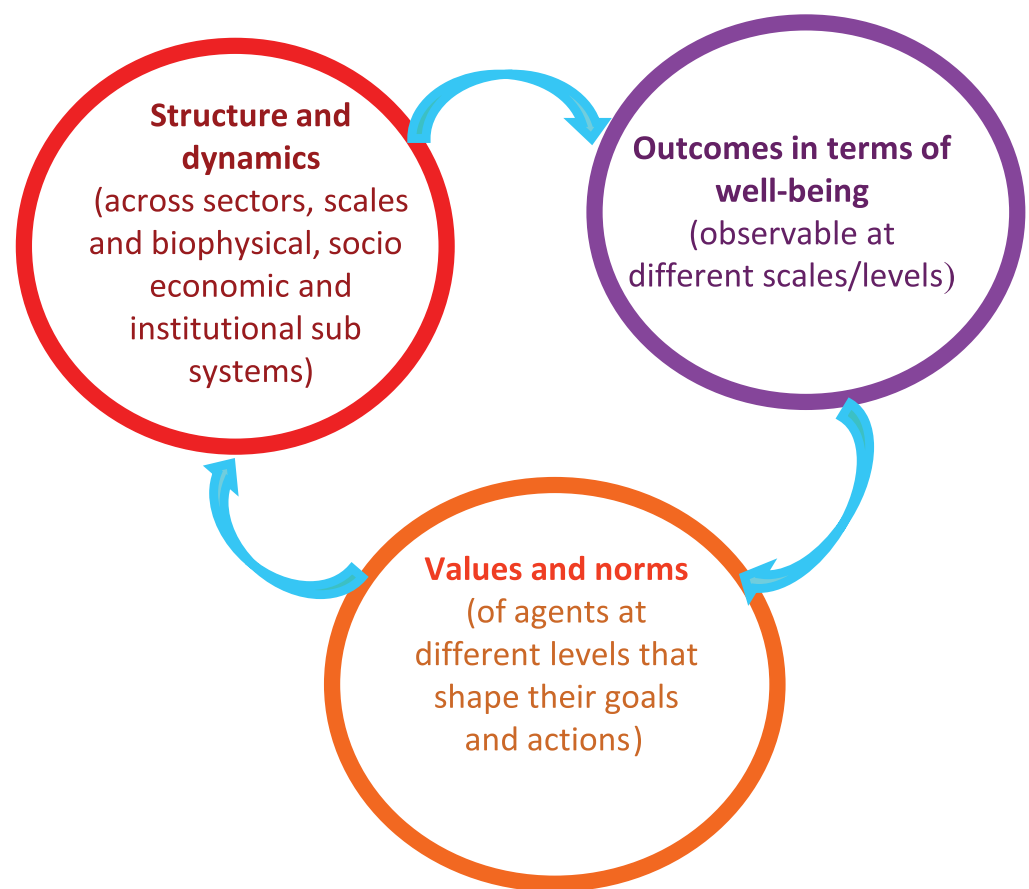


Figure 1. Organizational framework for socio-hydrology.

sustainability problems through integration of existing scientific theories and methods while at the same time creating new knowledge and understanding of emergent system dynamics.

2. Socio-hydrology, a New Science of Humans and Water

Inspired by the vast water security and society literature, we propose socio-hydrology as a use-inspired scientific discipline with a focus on the understanding, interpretation, and scenario development of the flows and stocks in the human-modified water cycle at multiple scales, with explicit inclusion of the two-way feedbacks between human and water systems [Sivapalan *et al.*, 2012]. Socio-hydrology is aimed at uncovering the dynamic cross-scale interactions and feedbacks between the natural and human processes that may give rise to water sustainability challenges that we face in the Anthropocene.

Socio-hydrology has three goals: (1) analyze multiscale, space-time patterns and dynamics of socio-hydrologic processes, and interpret them in terms of the underlying structural features of biophysical and human systems and their interactions; (2) explain and interpret socio-hydrologic responses in terms of outcomes relevant to human well-being, and discern possible future scenarios of their evolution; and (3) understand the meaning and value of water as a culturally, politically, and economically embodied resource necessary to human life, and do so in a manner that explicitly accounts for biophysical and human interactions.

We propose a broad theoretical framework that builds on the relationships among three crucial aspects of the socio-hydrologic system (Figure 1): (a) multiscale water system structures and dynamics, (b) water-related human well-being outcomes that emerge across physical scales and governance levels, and (c) normative goals of individuals and whole societies with respect to water use, conservation, and

sustainability. This theoretical framework formalizes the feedbacks between human and water systems in an explicit way that can help us explain the past, understand the present, and illuminate sustainable future trajectories of their coevolution.

3. Socio-hydrologic Perspective on Water Sustainability Challenges

Current approaches to studying water sustainability challenges lack explanatory and predictive power because of the inadequate treatment of the two-way dynamic feedbacks between human and water systems. Inadequate explanatory power gives rise to paradoxes, which frustrate efforts to resolve problems in societally relevant ways. Furthermore, the lack of predictive power over long time scales or large space scales produces over-simplified—often internally inconsistent—technical fixes [Gleick, 2003]. We illustrate the potential of socio-hydrology through three examples of water sustainability problems that cannot be fully resolved using conventional approaches.

3.1. Virtual Water Trade Paradox

When the concept of virtual water was introduced [Allan, 1997], many researchers predicted that the global commodities trade would self-organize to alleviate water stress. This was certainly true at the global scale (see Figure 2). For example, in 2008, the global staple food trade saved approximately $238 \text{ km}^3 \text{ yr}^{-1}$, a doubling in less than 20 years [Dalin et al., 2012]. However, many local and regional trade relationships lead to irrational water resource outcomes [Chapagain et al., 2006]. For example, food trade from northern to southern China amounts to a virtual water flow of $52 \text{ km}^3 \text{ yr}^{-1}$, more than the proposed diversion of real water through the South-North Water Transfer Project [Ma et al., 2006]. This paradoxical outcome can only be explained when local norms and values prioritizing food security over

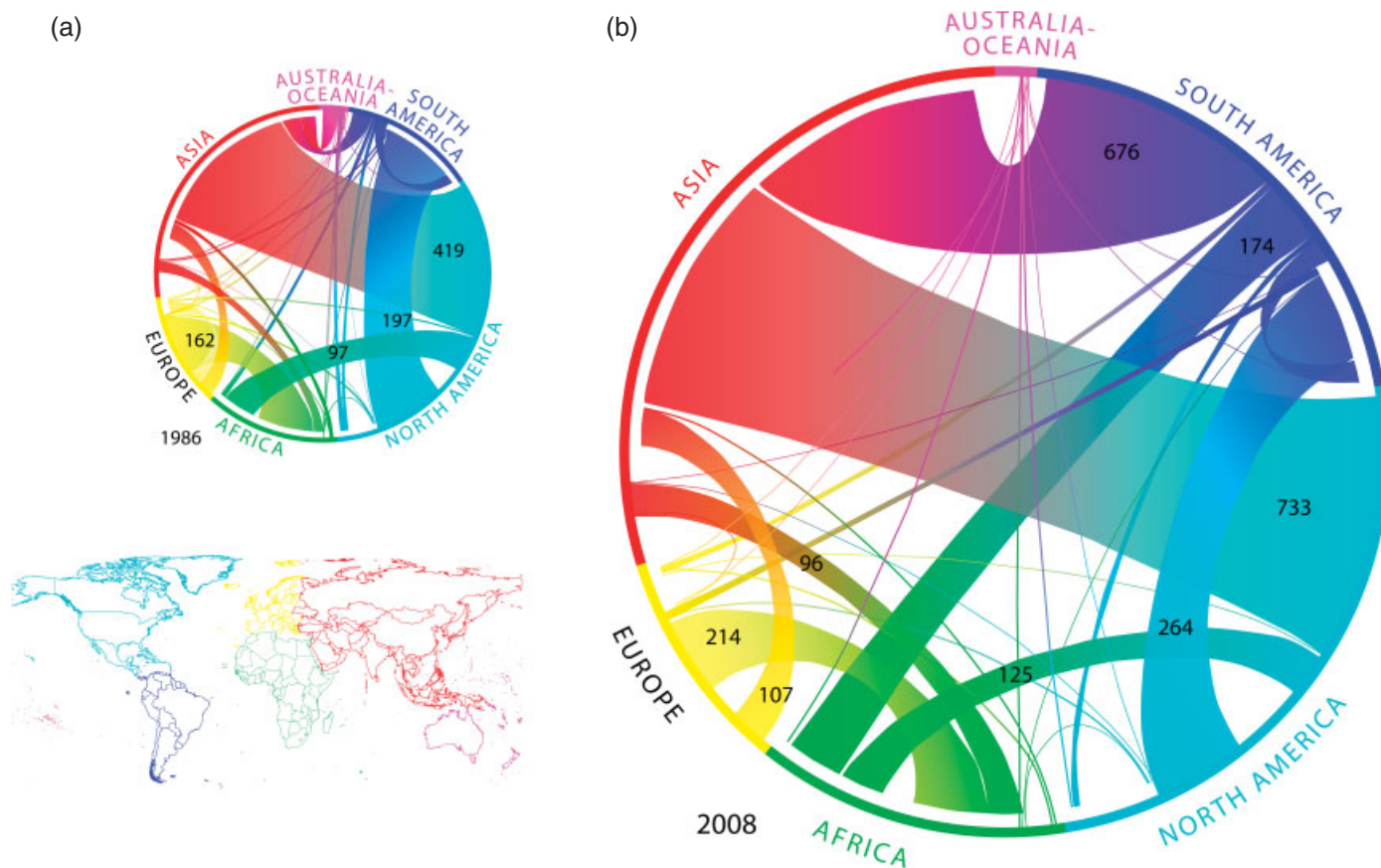


Figure 2. Water saved by global food trade in (a) 1986 and (b) 2008. Each circle displays regional water saved and is scaled by volume saved; 2.3 times more water was saved in 2008. The color of each region is provided in the map legend and import direction is indicated with white band. Numbers indicate km^3 water and negative flows are not displayed.

adverse environmental outcomes and the combined structure of land, labor, energy, ecological, and water constraints are taken into account. It is clear that in order to understand why trade saves water at the global scale, but not for certain regional and local trade links, it is essential to consider the social factors underlying agricultural production and trade [Konar *et al.*, 2013].

3.2. Efficiency Paradox

Efficiency of resource use has been considered an unassailable “gospel.” A range of practices and technologies to increase irrigation efficiency and save water have proven successful at the farm scale. Yet efficiency presents a paradox when assessed at larger scales because the “wasted” water upstream often becomes downstream supply. Without norms governing how the saved water must be reallocated—either by leaving it in the watercourse or protecting downstream water users, efficiency may only increase total irrigation use, worsen inequity, and deprive ecosystems of much-needed flows. The efficiency effect of increasing resource use—identified by William Stanley Jevons in *The Coal Question* (1865)—is especially evident across sectors where improvements in one sector produce externalities in another. An illustrative example is the coupled use of water and energy in Mexico, where efficient and subsidized electricity supplied to pump groundwater for irrigation had the unintended effect of increasing pumping by $4.9 \text{ km}^3 \text{ yr}^{-1}$ (25% of agricultural groundwater pumping), speeding up aquifer depletion [Scott, 2011].

3.3. Peak-Water Paradox

Water use is often assumed to increase with economic growth in demand projections. Yet many parts of the world are experiencing decreasing human water use despite sustained economic growth. In the Murrumbidgee Basin in Australia, construction of a series of dams initiated in the 1920s spurred an expansion of irrigated farming, accompanied by growth in population and agricultural exports. By 1980, abstractions from streams were almost 100% of the natural flows during low-flow periods. But by 2000, a prolonged drought, increased environmental consciousness, and diminution of agriculture as a fraction of the national economy resulted in a sharp reversal of this trend [Kandasamy *et al.*, 2013], with irrigation rights being bought back and reallocated to the environment (see Figure 3). Such a change in the structure and dynamics of water abstraction in the Murrumbidgee Basin can be explained only if one considers the changing norms governing the relative value placed on water used in agriculture versus in-stream water [Gleick and Palaniappan, 2010].

Current approaches are unable to explain and predict outcomes in the above paradoxes, resulting in unsustainable water resource management outcomes. Unfortunately, these paradoxes are not unique to a single case study site and occur in a range of locations. In each case, however, once the analysis frameworks are broadened (as in Figure 2), they can be understood as emergent dynamics resulting from two-way feedbacks between coupled human and water systems, thus highlighting the need to study human-water systems in a more general way to improve our explanatory and scenario development capabilities.

4. Socio-hydrology as Use-Inspired Science

There is a long history of scholarship on the role of water in social and environmental changes [Maass *et al.*, 1962; Wescoat, 2000; Tainter, 2006]. The complexity of the waterscape today—in which human actions are often varied, distributed, and informal—requires new understanding as these actions manifest in often unpredictable ways. Socio-hydrology builds upon previous work by incorporating impacts of decentralized human agents and institutions to water flows and storages, as well as their feedbacks. Additionally, socio-hydrology as proposed here is explicitly problem inspired, constructing novel hypotheses to address water sustainability challenges.

Human-water dynamics are complex and require new understanding. We propose socio-hydrology as a use-inspired scientific discipline that entails study of real-world systems across gradients of climate, socioeconomic status, ecological degradation, and human management. This effort will require contributions of experts across a range of perspectives—from hydrologists to natural and social scientists to humanists—to be successful. It is only through such joint efforts we can generate viable solutions to the water sustainability challenges of the Anthropocene.

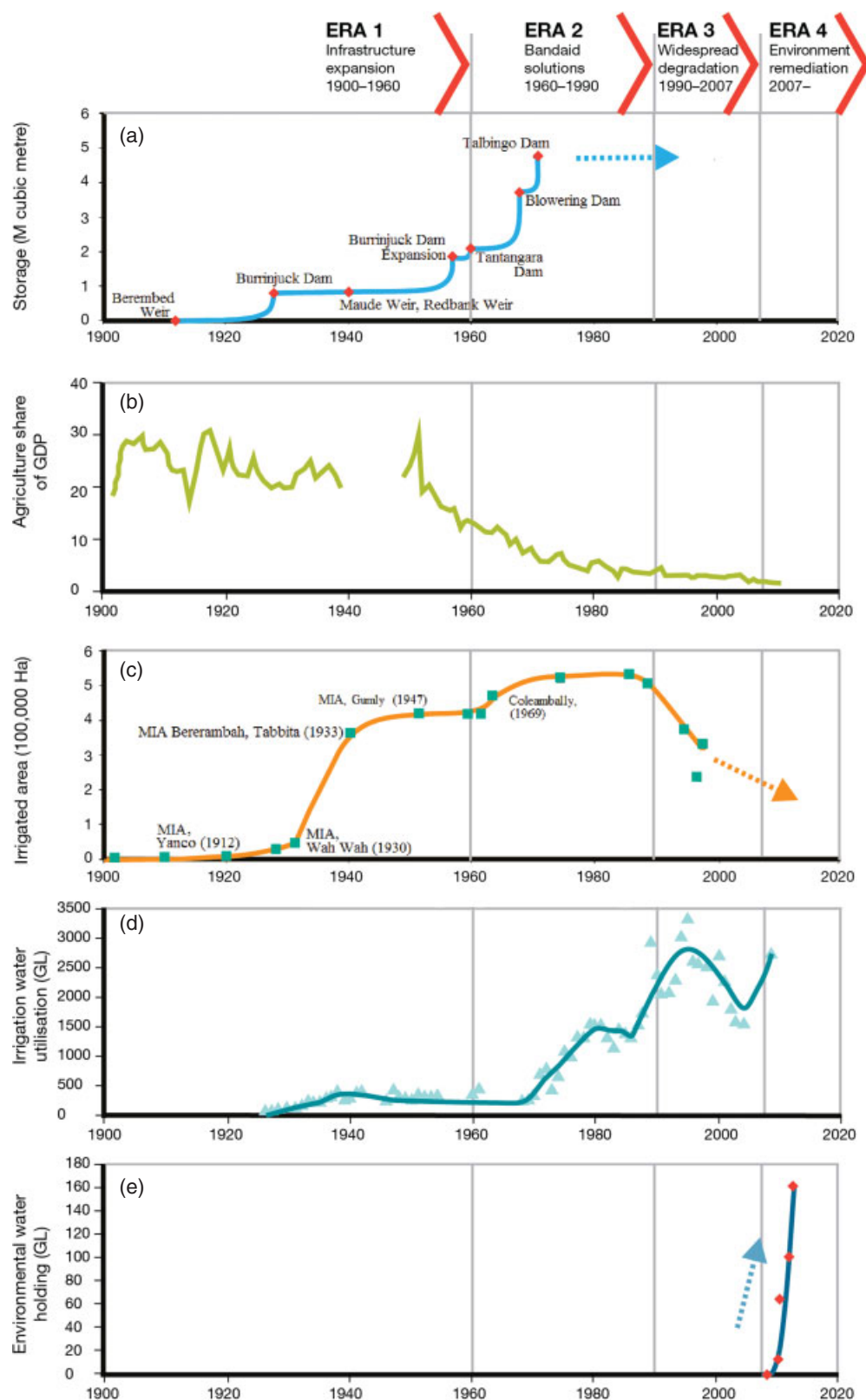


Figure 3. Pendulum swing in the Murrumbidgee Basin. Time series of (a) storage, (b) agriculture's share of gross domestic product, (c) irrigated area, (d) irrigation water use, and (e) environmental water holding. Source: Kandasamy *et al.*, 2013.

References

- Allan, J. A., (1997), Virtual water: A long-term solution for water short Middle Eastern economies? Occasional Paper No. 3. Water Issues Study Group School of Oriental and African Studies, Univ. of London, London, U. K.
- Chapagain, A. K., A. Y. Hoekstra, and H. H. G. Savenije (2006), Water saving through international trade of agricultural products, *Hydrol. Earth Syst. Sci.*, *10*, 455–468.
- Clark, W. C., and N. M. Dickson (2003), Sustainability science: The emerging research program, *Proc. Natl. Acad. Sci. U.S.A.*, *100*(14), 8059–8061.
- Dalin, C., M. Konar, N. Hanasaki, A. Rinaldo, and I. Rodríguez-Iturbe (2012), Evolution of the global virtual water trade network, *Proc. Natl. Acad. Sci. U.S.A.*, *109*(16), 5989–5994.
- Di Baldassarre, G., A. Montanari, H. Lins, D. Koutsoyiannis, L. Brandimarte, and G. Blöschl (2010), Flood fatalities in Africa: From diagnosis to mitigation, *Geophys. Res. Lett.*, *37*, L22402, doi:10.1029/2010GL045467.
- Falkenmark, M., and J. Rockström (2004), *Balancing Water for Humans and Nature: The New Approach in Ecohydrology*, Earthscan, London, U. K.
- Fishman, R. M., T. Siegfried, P. Raj, V. Modi, and U. Lall (2011), Over-extraction from shallow bedrock versus deep alluvial aquifers: Reliability versus sustainability considerations for India's groundwater irrigation, *Water Resour. Res.*, *47*, W00L05, doi:10.1029/2011WR010617.
- Gleick, P. H. (2003), Global freshwater resources: Soft-path solutions for the 21st century, *Science*, *302*(5650), 1524–1528.
- Gleick, P. H., and M. Palaniappan (2010), Peak water limits to freshwater withdrawal and use, *Proc. Natl. Acad. Sci. U.S.A.*, *107*(25), 11155–11162.
- Kandasamy, J., D. Sountharajah, P. Sivabalan, A. Chanan, S. Vigneswaran, and M. Sivapalan (2013), Socio-hydrologic drivers of the pendulum swing between agriculture development and environmental health: A case study from Murrumbidgee river basin, Australia, *Hydrol. Earth Syst. Sci. (Discuss.)*, *10*, 7197–7233, doi:10.5194/hessd-10-7197-2013.
- Konar, M., Z. Hussein, N. Hanasaki, D. Mauzerall, and I. Rodríguez-Iturbe (2013), Virtual water trade flows and savings under climate change, *Hydrol. Earth Syst. Sci.*, *17*, 3219–3234, doi:10.5194/hess-17-3219-2013.
- Ma, J. A. Y., H. Hoekstra, A. K. C. Wang, and D. Wang (2006), Virtual versus real water transfers within China, *Philos. Trans. R. Soc. Land. Ser. B Biol. Sci.*, *361*(1469), 835–842, doi:10.1098/rstb.2005.1644.
- Maass, A., M. M. Hufschmidt, R. Dorfman, H. A. Thomas Jr., S. A. Marglin, and M. G. Fair (1962), *Design of Water-Resource Systems: New Techniques for Relating Economic Objectives, Engineering Analysis, and Government Planning*, Harvard Univ. Press, Cambridge, Mass.
- Scott, C. A. (2011), The water-energy-climate nexus: resources and policy outlook for aquifers in Mexico, *Water Resour. Res.*, *47*, W00L04, doi:10.1029/2011WR010805.
- Sivapalan, M., H. H. G. Savenije, and G. Blöschl (2012), Socio-hydrology: A new science of people and water, *Hydrol. Process.*, *26*, 1270–1276.
- Stokes, D. E. (1997), *Pasteur's Quadrant: Basic Science and Technological Innovation*, Brookings Institution Press, Washington, D. C.
- Tainter, J. A. (2006), Social complexity and sustainability, *Ecol. Complexity*, *3*, 91–103.
- Thompson, S. E., M. Sivapalan, C. J. Harman, V. Srinivisan, M. R. Hipsey, P. Reed, A. Montanari, and G. Blöschl (2013), Developing predictive insight into changing water systems: Use-inspired hydrologic science for the Anthropocene, *Hydrol. Earth Syst. Sci.*, *17*, 5013–5039.
- Turner, R. E., and N. N. Rabalais (2003), Linking landscape and water quality in the Mississippi River Basin for 200 years, *BioScience*, *53*(6), 563–572.
- Vörösmarty, C. J., P. Green, J. Salisbury, and R. B. Lammers (2000), Global water resources: Vulnerability from climate change and population growth, *Science*, *289*(5477), 284–288.
- Wagener, T., M. Sivapalan, P. A. Troch, B. L. McGlynn, C. J. Harman, H. V. Gupta, P. Kumar, P. S. C. Rao, N. B. Basu, and J. S. Wilson (2010), The future of hydrology: An evolving science for a changing world, *Water Resour. Res.*, *46*(5), W05301, doi:10.1029/2009WR008906.
- Wescoat, J. L., Jr. (2000), Wittfogel East and West: Changing perspectives on water development in South Asia and the U.S., 1670–2000, in *Cultural Encounters with the Environment: Enduring and Evolving Geographic Themes*, edited by A. B. Murphy and D. L. Johnson, pp. 109–132, Rowman & Littlefield, Totowa, N.J.
- Wescoat, J. L., Jr. (2013), Reconstructing the duty of water: A study of emergent norms in socio-hydrology, *Hydrol. Earth Syst. Sci.*, *17*, 4759–4768.
- Ziv, G., E. Baran, S. Nam, I. Rodríguez-Iturbe, and S. A. Levin (2012), Trading-off fish biodiversity, food security, and hydropower in the Mekong River Basin, *Proc. Natl. Acad. Sci. U.S.A.*, *109*(15), 5609–5614.